

YOUR PLATFORM MIGRATION PLAYBOOK

The ultimate guide to successfully migrating your compliance and ethics program



About GAN Integrity

GAN Integrity is how compliance teams at even the most complex organizations get the tools and expertise to stay ahead of risk. With less effort but more reach, they finally get a better way to do their good work. Too many compliance teams are stuck in an unfair fight, where you're trying to manage ever-evolving risks with tools that are anything but. And, you're doing it all in a silo, on a shoestring budget, without the backup you need. You do all the good you can with what you have – but, good deserves better. And that means you've got to be able to see everything, adapt to anything, and get all the help you need. Only GAN Integrity lets you do all this. That's why so many of the world's leading brands rely on us.

 GAN INTEGRITY | Because good deserves better™



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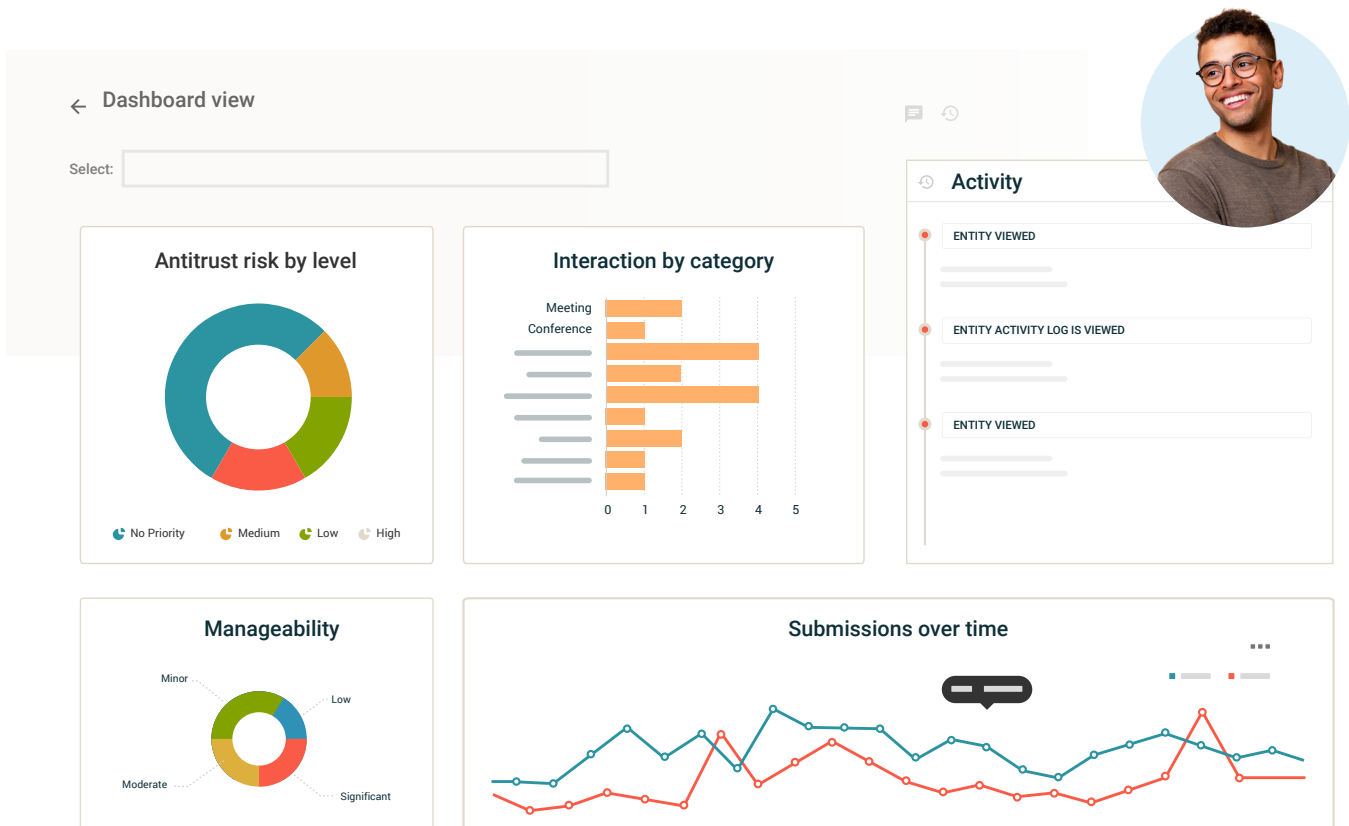
Introduction

Switching your compliance platform isn't just about adopting new technology—it's about delivering on the promises that your compliance technology should bring to the business. It's about ensuring your program is fully supported at every stage of its lifecycle and freeing your team from the disruptions and headaches caused by incumbent vendors undergoing constant change management. With continuous pressure from regulators and the business, you deserve the support you need to meet expectations. Effective compliance requires a continuous journey of discovery, adaptation, and staying ahead of emerging challenges. You need the right tools and expert support to stay on track.

Like many companies facing uncertainty with vendor changes, you might feel apprehensive about the impact on your team, workload, and operations. But at GAN Integrity, we make this migration seamless. Our proven process ensures that every aspect of your compliance program is smoothly migrated and optimized for success on the Integrity Platform. You'll have the confidence to embrace change knowing you're fully supported every step of the way.

You can focus on what matters most while we handle the rest. Say goodbye to vendor disruptions, endless administrative tasks, and having to repeatedly explain your needs. With our dedicated support and intuitive platform, you'll quickly find yourself freed from the burdens of vendor management and equipped with the tools to stay ahead of compliance risks.

This Playbook is your guide to making the switch today, providing a clear, hassle-free roadmap to scalability and long-term value creation for your compliance program.





After continuous unmet promises [from our old provider], GAN Integrity stepped in and helped me and the business get the solution we needed for compliance. I'm now able to scale our program, add more capabilities, all without the need to increase internal resources.

—Director Compliance, Global environmental services organization

Solutions

By unifying critical compliance functions like disclosures, incident management, and third-party risk, Integrity Platform gives you a holistic view of exposure to risk. Scalable workflows and adaptable configurations ensure you can keep up with a business environment that never stands still, while automation and expert support free your team to focus on the strategic work that truly matters. Compliance teams deserve better, and GAN Integrity delivers a better way for you to do your good work—efficiently, effectively, and with the reach you need to make a real impact.



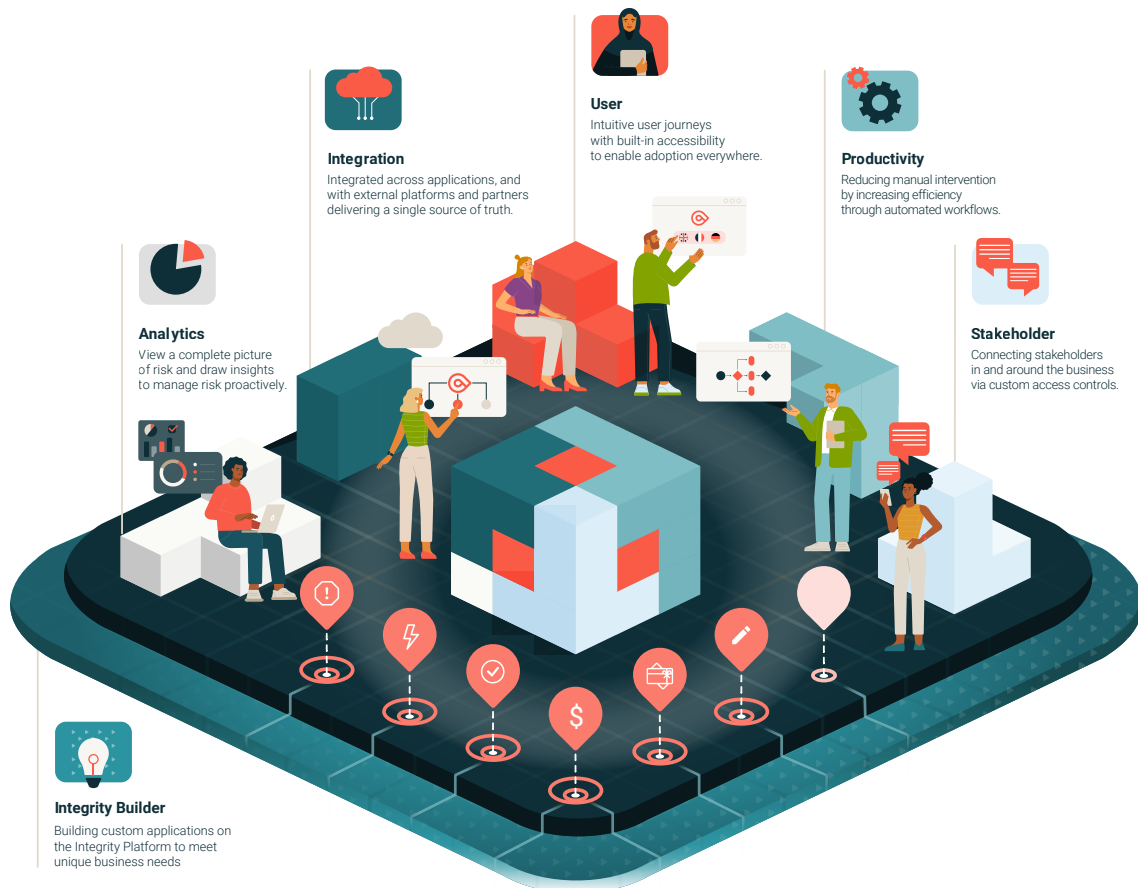
An Ethics & Compliance Platform Built for Ethics & Compliance Teams

GAN Integrity's suite of ethics and compliance applications is built on the Integrity Platform—designed specifically to meet the needs of compliance teams. Whether managing employee disclosures, case investigations, or third-party risks, the Integrity Platform enables you to see everything, because risk can't hide when all your data is in one place.

With scalable workflow technology and granular governance architecture, the platform empowers you to adapt to anything because risk doesn't stand still, and neither does your business.

Engage your employees through an intuitive, centralized platform that makes it easy for them to raise concerns and log declarations. Empower your compliance team to focus on strategic priorities by automating low-value tasks and leveraging integrated collaboration tools and industry-leading risk data.

No matter which compliance area you're reporting on, embedded analytics and reporting give you insights on every compliance area and connect the dots across compliance processes to give you a holistic view of risk exposure and make data-driven decisions with confidence.



- Third-party risk management**
- Incident management**
- Conflicts of Interest**
- Donations & Sponsorships**
- Gifts and Entertainment**
- Integrity Campaign Manager**
- What you can build**

Engage Your Employees With a One-Stop-Shop, Compliance Solution

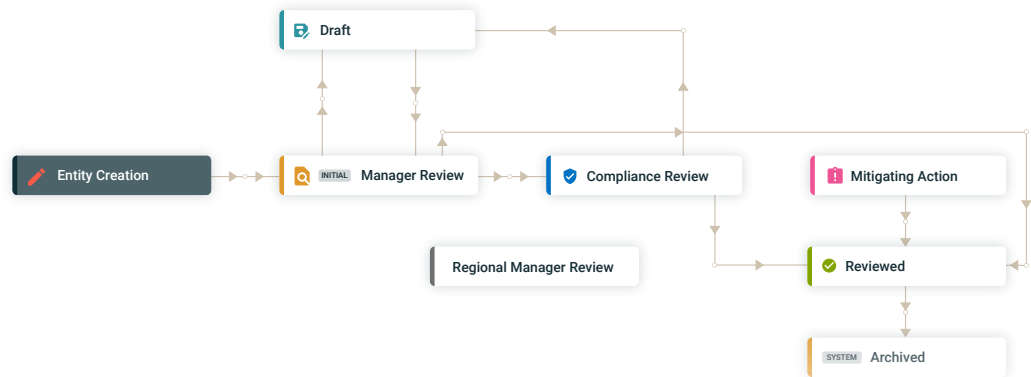
Switching platforms is the perfect opportunity to elevate how you engage employees and third parties with your compliance program. GAN Integrity makes it easy to introduce a familiar, user-friendly experience, ensuring that reporting processes—from incident management to gifts and entertainment disclosures—are accessible to everyone.

The Integrity Platform provides all the tools you need to deliver a seamless onboarding experience. From targeted messaging and tailored user journeys to anonymous speak-up lines, your employees will enjoy a reliable, streamlined experience every time they interact with your program.



One session was enough for us to explain how it works

—Jessie O'Neil, Business Integrity Global Lead, Barrick Gold

Entity Configuration Manager
Workflow editor: COI Declarations

Design Workflows That Work the Way You Do

Whether you're looking to design a tailored solution or hit the ground running with best-practice applications, the Integrity Platform empowers you to start where you need and scale at your own pace. With solutions for all critical compliance areas, our platform helps you manage ethics and compliance risks across employee disclosures, incident management, third-party risk management, and more. By unifying these processes, the platform gives you a holistic view of your exposure, connecting the dots across every compliance function.

Accommodating the unique needs of enterprise organizations and adapting to change is essential. With the Integrity Platform, you can therefore tailor the system to fit your organization's unique operations, risk appetite, structure, and complexities and adapt these as your needs evolve. Sophisticated role-based access controls ensure you can govern interactions with the data and personalize user experiences.

Think big, start small, and grow fast into the compliance program you've always envisioned. GAN Integrity's flexible platform ensures you're never held back, allowing you to start where you want and scale at your pace.



We adapted the GAN Integrity Platform to fit our needs along the way

—Emmanuelle Trillon, Group Legal Manager, Head of Insurance & Compliance Officer for Altrad Group.

Get All the Help You Need: We Make Implementation Easy

We understand that migration from an existing platform requires careful planning with minimal disruption and internal resource requirements.

That's why we dedicate a team of experts to ensure every step of your migration is efficient and seamless.





“We were able to design something everyone can understand and easily use”

—Barrick Gold



Scoping

We start by understanding your current platform, identifying challenges, and defining success for your program. We'll map out the requirements for your new solution, ensuring a smooth migration without losing critical data or functionality.

Key deliverables: a tailored Statement of Work (SOW), an initial project plan, and a clear timeline for go-live.



Designing

We will advise and collaborate with you on how to best map out and build your solution. The turn-around of the design phase will depend on whether you choose to implement a best-practice solution or a customized solution. Yet, regardless of your choice, we want to make sure that the solution captures all the requirements outlined in the scoping phase and that you are ultimately satisfied with the outcome once it materializes.

In this phase, we collaborate with you to refine processes across the solutions you need— Whether you choose to replicate your existing workflows, introduce best practices, or design a customized solution, we'll ensure the new platform reflects your needs and goals.

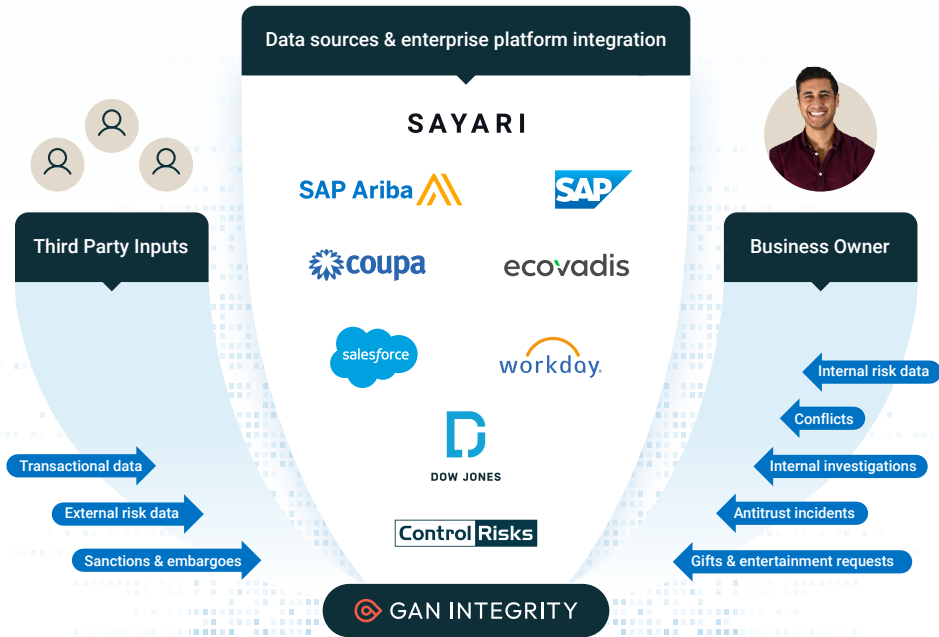


Building

Once designs are finalized, we'll execute the migration, aligning with your team through regular check-ins, thorough testing, and iterative improvements. When the platform is ready, we'll guide your launch to ensure your compliance program is set up for success from day one.

Seamless Legacy Data Migration

When migrating to a new compliance solution you can't just consider workflow processes and solution features. Ensuring your data can seamlessly migrate over is critical for the defensibility of your compliance program. Just as we strive to provide a seamless solution implementation experience, the importance of data migration is equally considered during the build. To make data migration as efficient and hassle-free as possible, the process will rest on 3 key elements:



Mapping Legacy Data to Your New Application

Data from your legacy application will be mapped into the corresponding application on the Integrity Platform, ensuring alignment and consistency. Our team will guide you in preparing your data for this transition.



Ownership of Legacy Data Export

Given that you own the data, you will own the key to export it. GAN Integrity will provide clear guidance on the required data format and assess the quality of the export to ensure compatibility with the Integrity Platform.



Data Import After Configuration Finalization

To ensure a successful migration, data import will occur once your new application's configuration is finalized. During the implementation process, configurations such as fields and settings are optimized. Once these are locked in place, your data will be seamlessly imported into the platform.

A Collaborative Data Migration Process

Migrating your compliance solutions and corresponding data to the Integrity Platform is a collaborative effort between your team and GAN Integrity. The data migration and design will unfold over eight steps:

- 1 Kickoff & Planning**

Together, we'll discuss your requirements, constraints, and goals. GAN Integrity will share an initial data template to help you start preparing your data while your new application is being customized.
- 2 Solution Configuration**

GAN Integrity will configure and implement your new application on the Integrity Platform, tailored to your organization's needs. The process will be subject to the three aforementioned implementation phases; Scoping, Design, and Build.
- 3 Final Data Migration Template & Guidance**

Once your new application is ready, GAN Integrity will provide the final data template along with clear guidance to help you prepare your legacy data.
- 4 Data Preparation**

To successfully migrate all your legacy data, your team will need to gather all legacy data points to populate the data template, ensuring everything is ready for migration.
- 5 Test Import**

To ensure everything is working as expected, GAN Integrity will run a test import using a small sample of your data.
- 6 Validation**

Once the sample data is imported into the Integrity Platform, you can review and validate the test results, confirming the data is accurate and correctly mapped.
- 7 Full Data Import**

With your approval, a full data import will be performed, bringing all your legacy data into the Integrity Platform.
- 8 Launch**

With your data successfully migrated, your new application is ready to go live!

The Integrity Platform offers the flexibility to import virtually any type of data, ensuring it fits seamlessly into your new application. By configuring the necessary fields in your application, we can ensure your data is effectively integrated. Third-party legacy data imports can also be completed after your application goes live, however, completing the data import during the implementation phase allows your assigned Implementation Manager to oversee the process smoothly alongside the application setup, streamlining the transition.

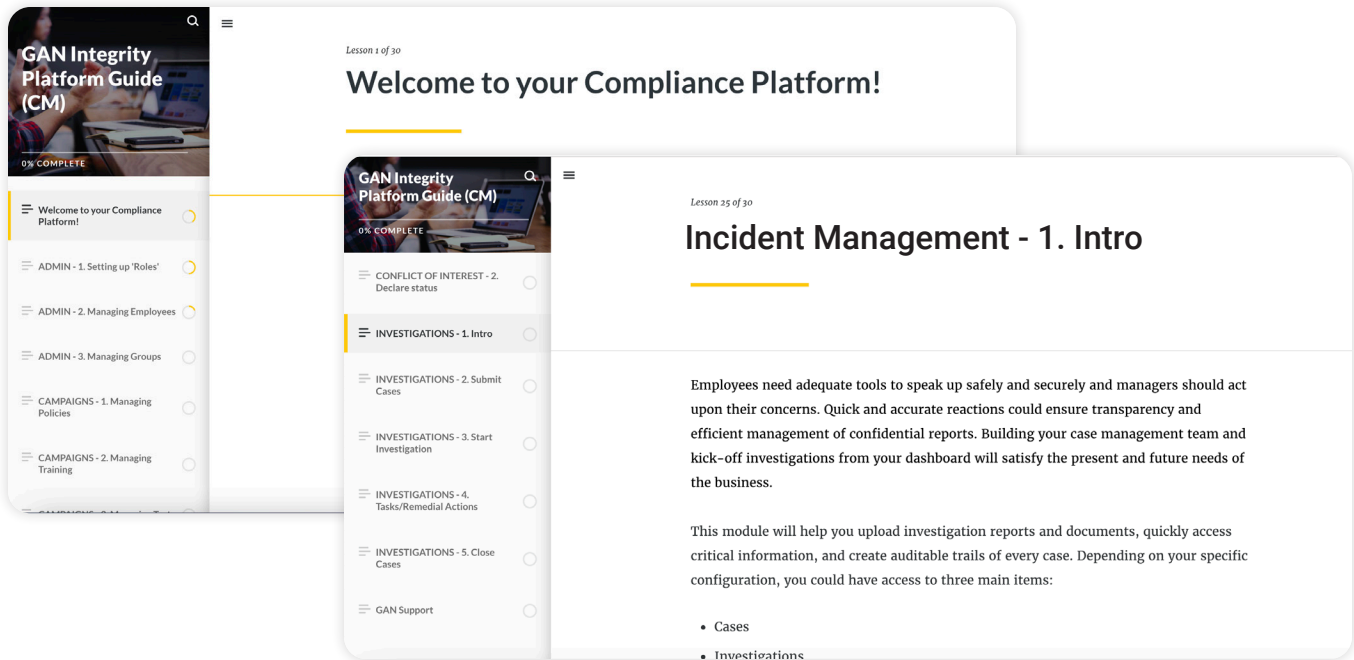
Onboarding & Beyond

As a trusted partner, we've guided countless customers through hassle-free migrations from other platforms, helping them leave behind outdated systems and embrace a solution built for success. In GAN Integrity, you have a partner committed to your long-term goals; focused on driving program effectiveness.



We Empower You to Empower Your Team

Switching platforms doesn't have to disrupt your operations. Our onboarding package is designed to help you and your team quickly adapt to the GAN Integrity Platform, empowering you to deploy and manage your new compliance program with confidence.



What does the onboarding package include?

- A recorded walkthrough of your tailored platform for ongoing reference.
- Detailed step-by-step guides to ensure every feature is easy to use.

Ready to take full ownership of your compliance program?

Let's launch!




I've done several system roll-outs during my time at Barrick and GAN Integrity has been the smoothest"

—Barrick Gold

Branded for a More Familiar Experience


Switching to GAN Integrity is an opportunity to enhance your compliance program's accessibility and adoption. From branded reporting portals to customized onboarding materials, every element of your new platform is designed to feel familiar and user-friendly, encouraging employees to embrace the transition seamlessly.




AA Admin Admin
admin@ganintegrity.com

Investigations + REPORT A CASE

Summary ⚙️



Welcome to the Investigations Portal

Welcome to the SUDS's Investigations Portal. Please use this tool to manage incidents that have been reported either through our hotline or web portal. For any help on our policies and processes please click REAM MORE below.

READ MORE →

Investigation Module Guide

Click "OPEN GUIDE" below to find an interactive guide on how to use the various features of this module.

OPEN GUIDE →

View: **Case** 📄 📱 ☰ 🔍 ☰

| Status | Case ID | Reported Date | Intake Method | Scope | Priority | Incident Type | Case Manager | Case Outcome | Associated Department | Follow up requested |
|-------------|---------|---------------|---------------|--------------|----------|---|--------------|---------------------------------|------------------------|---------------------|
| New Case | 1366 | 12 Nov, 2021 | Web | | | Theft | | | | |
| Case Closed | 1363 | 01 Oct, 2021 | Web | In scope | Medium | Theft | Alex Jacobs | Substantiated | Finance | |
| Case Closed | 1362 | 01 Oct, 2021 | Web | Out of scope | | | | Substantiated - Below Threshold | | |
| Case Review | 1361 | 01 Oct, 2021 | Web | In scope | Medium | Antitrust or Fair Trading Conflict of Interest | Alex Jacobs | | Information Technology | |
| Case Closed | 1359 | 30 Sep, 2021 | Web | In scope | Medium | Accounting/Audit Concerns | Alex Jacobs | Substantiated | Information Technology | |
| Case Closed | 1356 | 30 Jul, 2021 | Web | In scope | High | Theft | Alex Jacobs | Substantiated | Legal | |
| Case Closed | 1355 | 29 Jul, 2021 | Web | Out of scope | | | | Substantiated - Below Threshold | | |
| Case Review | 1354 | 29 Jul, 2021 | Web | Out of scope | | | | | | |

Your brand. Your platform.
Customized control.



YOUR CONCERNS ARE IMPORTANT TO US!

If you see a possible violation of The Standards of Business Conduct, company policies, laws or regulatory requirements, it is important to report your concerns as soon as possible.

REPORT ONLINE
OR
CALL US

 [Scan Me!](#)


<https://sandbox.gan-compliance.com/p/Case>


Your Store Number: XXXXX




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If you see a possible violation of The Standards of Business Conduct, company policies, laws or regulatory requirements, it is important to report your concerns as soon as possible.

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MARCOS
ITALIAN FAST FOOD

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Ongoing Support to Ensure a Smooth Transition & Sustained Success

Your migration doesn't end at launch. With GAN Integrity, you gain access to a dedicated support team to address any questions or challenges as you adapt to your new platform. From feature guidance to regular check-ins with your account manager, we're here to ensure your program not only transitions seamlessly but continues to evolve and thrive.



Our Success Depends on Yours

We've helped countless customers transition from other vendors and platforms, guiding them through the process with ease and precision. With the Integrity Platform, they've found not just a robust solution but also a trusted partner invested in their success. Now, they can focus on driving the effectiveness of their programs rather than managing the headaches of their previous systems.



Your service and your responsiveness. Your willingness to listen to and act on feedback is fantastic.

—Deborah Spanic, *Chief Ethics and Compliance Officer, Clarios*



I've been using GAN Integrity for the past three years, and I've always been impressed by the continuous improvement of their platform tools ... They have always taken my feedback into account when making improvements, even involving me in the testing of their new features, which I greatly appreciate.

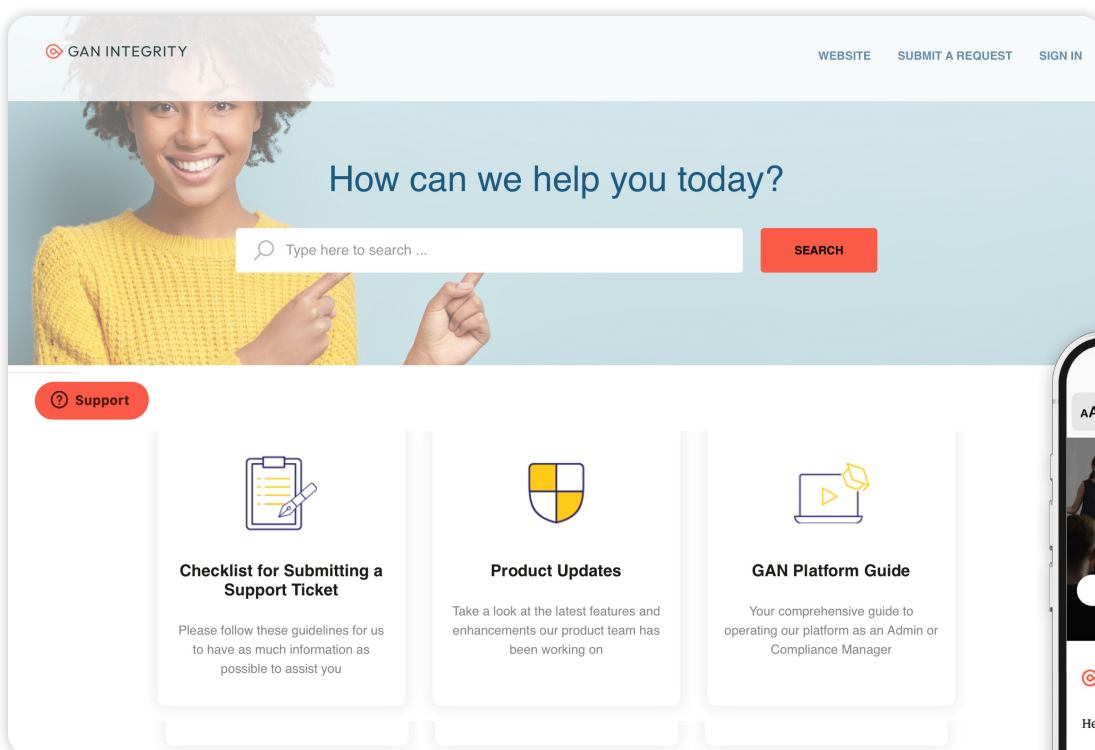
—Senior Advisor, Campaigns & Trainings, Ethics & Compliance Office at a world-leading aerospace and defense company

Support Is Always Just One Click Away

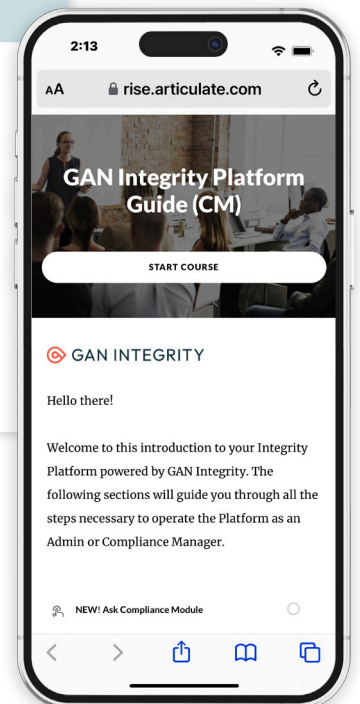
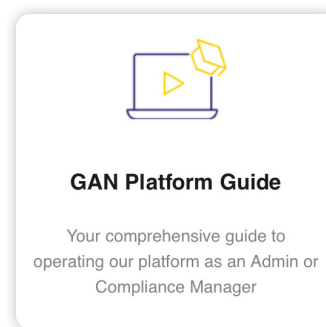
Whether it's guidance on how to use new platform features, or just getting started, our dedicated support team will always be just one step away from getting you quickly back to business. If ever in doubt, submit your questions via our support portal or browse our comprehensive knowledge base packed with detailed video tutorials and support articles to ensure that you can seamlessly progress your projects.

Make a seamless switch to a trusted partner and leave the hassle behind. Focus on driving the success of your compliance program with the confidence and support you deserve. Because good deserves better.

Interested in learning more? [Contact us today](#) for a personalized walkthrough of GAN Integrity's offerings.



Courses to guide you through all the steps necessary to operate the Platform.





GAN Integrity Enables the World's Largest Brands To Do the Right Thing.

We fulfill our mission by enabling global teams to manage ethics, compliance, and risk with our Integrity Platform, a no-code application building platform.



Visit us at ganintegrity.com or contact us to learn more

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